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Section  
PATENT  
TH2007 #3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

de Rouffignac, et al.

Serial No.: 09/841,302

Filed: April 24, 2001

For: IN SITU THERMAL PROCESSING  
OF A HYDROCARBON  
CONTAINING FORMATION USING  
HEAT SOURCES POSITIONED  
WITHIN OPEN WELLBORES

§ Examiner: Unknown  
§  
§ Group Art Unit: 1764  
§  
§ Atty. Docket. No.: 5659-08200/EBM  
§  
§  
§  
§  
§  
§  
§  
§  
§

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with  
the U.S. Postal Service as First Class Mail in an envelope  
addressed to: Commissioner for Patents, Attn: BOX MISSING  
PARTS, Washington, D.C. 20231, on the date indicated below.

9-29-01  
Date

Elda Gonzalez

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -**  
**FILING DATE GRANTED, MAILED JULY 3, 2001**

**ATTN: Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

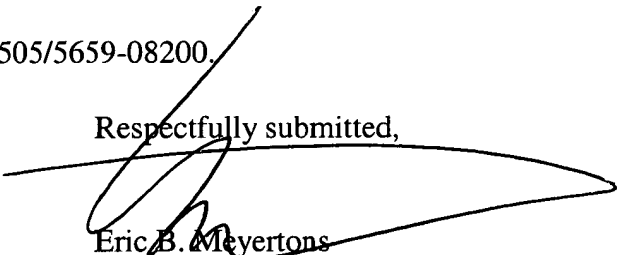
- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (3) Originally executed Assignment (3 pages);
- (4) Originally executed Declaration (5 pages); 10/09/2001 BABRAHA1 00000033 09841302
- (5) Preliminary Amendment (50 pages); 04 FC:115 110.00 CH
- (6) Marked Up Version of Amendments (42 pages);
- (7) Clean Version of Title Page (1 page);

- (8) Marked Up Version of Title Page (1 page);
- (9) Fee Authorization in the amount of \$2,088.00 to cover the filing fee for a small entity as follows (2 pages):
  - \$ 710.00 -- basic filing fee;
  - \$ 1,098.00 -- surcharge for excess claims;
  - \$ 130.00 -- surcharge for late filing of declaration and fee;
  - \$ 40.00 -- assignment recordation fee;
  - \$ 110.00 -- one month extension of time fee; and
- (10) A return postcard.

Applicant respectfully requests a one-month extension of time to respond to the formalities letter mailed July 3, 2001. A fee authorization that includes \$110.00 for the one-month extension of time fee is enclosed.

If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon P.c. Deposit Account Number 50-1505/5659-08200.

Respectfully submitted,

  
Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

Date: 9/28/01



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 09/841,302  
Filed: April 24, 2001  
Inventor(s):  
De Rouffignac, et al.

Examiner: Unknown  
Group/Art Unit: 1764  
Atty. Dkt. No: 5659-08200

**Title: IN SITU THERMAL  
PROCESSING OF A  
HYDROCARBON  
CONTAINING  
FORMATION USING  
HEAT SOURCES  
POSITIONED WITHIN  
OPEN WELLBORES**

CERTIFICATE OF MAILING  
 UNDER 37 C.F.R. §1.8  
 DATE OF DEPOSIT: 9-29-01  
 I hereby certify that this correspondence is being deposited with  
 the United States Postal Service with sufficient postage as first  
 class mail on the date indicated above and is addressed to:  
 Commissioner for Patents  
 Washington, DC 20231  
Elda Gonzalez  
 Elda Gonzalez

## FEE AUTHORIZATION

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Shell Oil Co.  
Deposit Account Number 19-1800:

- \$ 710.00 – Basic Filing Fees;  
\$1,098.00 – Excess Claims Fees;  
\$ 130.00 – Surcharge for late filing of declaration and fee;  
\$ 40.00 – Assignment Recordation Fee; and  
\$ 110.00 – one month extension of time fee;

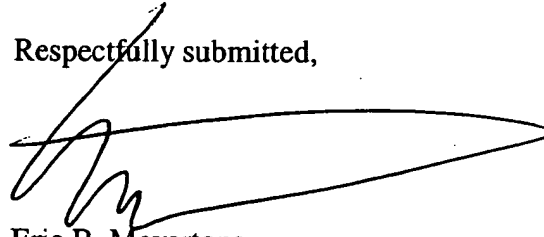
**Total Amount: \$2,088.00**

Attorney Docket No.: 5659-08200

de Rouffignac, et al.  
09/841,302

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley Rose & Tayon P.C. Deposit Account Number 50-1505/5659-08200/EBM.

Respectfully submitted,



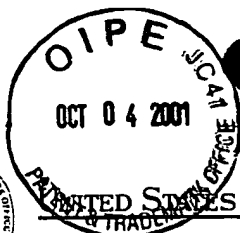
Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 703-1254 (voice)  
(512) 703-1250 (facsimile)

Date: 9/28/01

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,302	04/24/2001	Harold J. Vinegar	5659-08200 TH2007

CONFIRMATION NO. 4731

## FORMALITIES LETTER



\*OC000000006256734\*

Eric B. Meyertons  
DEL CHRISTENSEN  
SHELL OIL COMPANY  
P.O. BOX 2463  
HOUSTON, TX 77252-2463

Date Mailed: 07/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/09/2001 BABRAHA1 00000033 501505 09841302

FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:103 1098.00 CH  
03 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$110190.
  - \$96750 for 5375 total claims over 20.
  - \$13440 for 168 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 111030.

*A copy of this notice **MUST** be returned with the reply.*

*EW*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED